

Sales Commissions and Other Monetary Compensation Paid to Basler Kantonbank

Basler Kantonbank offers its customers a wide range of proprietary and third-party investment funds and structured products.

For this sales activity and the related services, Basler Kantonbank receives from a number of these product providers a sales commission or other monetary compensation (also called fund sales commission, portfolio maintenance commission, or portfolio payments).

The sales commissions are determined with the providers in special agreements - independently of the respective business relationship with the bank customer - and are owed exclusively to Basler Kantonbank as compensation for the sales-related tasks.

Investment Funds

For investment funds the sales commissions constitute a portion of the management commission referenced in the fund regulations and are normally within the following ranges:

Product Category	Range
Money market funds	0% – 0.60%
Bond funds	0% – 1.20%
Real estate funds	0% – 0.50%
Other investment funds (e.g. stock funds, strategic investment funds, alternative investment funds, fund of funds, etc.)	0% – 1.60%

Structured Products

For structured products the sales commissions are paid by way of a discount on the issue price, as compensation of a portion of the issue price, or by way of other structuring fees (generally between 0 and 2%).

In the event of a potential conflict of interest arising from the stated commissions or compensation, the Bank will ensure that the interests of the customer are safeguarded at all times.

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