

BKB-EasyTrading

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**Basler
Kantonalbank**
fair banking

Application to open an account

Personal details

(Will be completed by the Bank)

Partner no. _____

Account no. _____

Title _____

Last name _____

First name _____

Street/no. _____

Postcode/city/country _____

Nationality _____

Language of correspondence E D F

Date of birth _____

Profession _____

E-mail _____

Home telephone _____ Available from _____ to _____

Business telephone _____ Available from _____ to _____

Account and custody account details

Securities account. Necessary in all circumstances

I would like to open the following accounts:

Account in CHF

Account in USD

Account in EUR

Account in CAD

Entry in share register

When purchasing registered shares, I would like to be entered in the share register of the company in question.

Yes No

Post

I would like post sent to my home address as listed above.

I would like post sent to the following address:

Last name _____ First name _____

Address _____ Postcode/city/country _____

I hereby commission the Basler Kantonalbank to retain all letters, statements and other forms of communication addressed to me until such time as I or a person of my choice shall pick them up or send for them in writing (separate form on page 5: sign retained mail declaration).

Power of attorney

- No, I do not grant any power of attorney.
- Yes, I grant the following person unlimited general power of attorney with individual signing authority regarding assets I have deposited by way of BKB-EasyTrading.

(Will be completed by the Bank)

Partner no. _____

Account no. _____

Title	Relationship to contractual partner: (e.g. wife, husband, brothers and sisters etc.)
_____	_____
Last name	First name
_____	_____
Street/no.	Postcode/city/country
_____	_____
Date of birth	Nationality
_____	_____
Phone	

Signature of holder of power of attorney	X
_____	_____

The holder of the power of attorney is familiar with the practices and risks of market trading. The principal is expressly made aware that in accordance with Art. 35 of the Swiss Code of Obligations this power of attorney shall not expire upon his/her death, disappearance or incapacity, but shall remain in force.

Other declarations

By opening an account and custody account, I acknowledge and declare my agreement with the fact:

- that all telephone conversations regarding BKB-EasyTrading are recorded;
- that in all stocks and shares transactions I expressly forego all personal advice;
- that I am fully aware of the regulations and customs of stock-exchange trading and of the location of the relevant stock exchange, and that I accept them for all stocks and shares transactions completed under this contract;
- that I am fully aware of the structures and risks of the individual type of trade and that, in particular, I know precisely the special risks associated with trading in options and accept the full extent thereof.

By signing this agreement I confirm the accuracy of all information provided as well as my receipt and acknowledgement of the following documents pertaining to BKB-EasyTrading: the fine print, "Special Risks in Securities Trading".

Place of jurisdiction is Basel.

Place and date	Signature
_____	_____
	X

A

Declaration of identity of the beneficial owner (Form A pursuant to Art. 3 and 4 CDB)

Partner Nr. _____ (to be completed by BKB)

This declaration applies to the
(to be completed by the client)

entire Client relationship under the
Partner number mentioned above

or

Account/Securities account number:

Category (where appropriate): _____

Contracting partner:

Surname/First name (or company):

Address:

Post code/Town/Country:

The contracting partner hereby declares that the individual(s)/partnership(s)/legal entity (entities) listed below is/are the beneficial owner(s) of the assets deposited under the above relationship. If the contracting partner is also the sole beneficial owner of the assets, the contracting partner's details must be set out below:

1. Last name (company name):

First name:

Date of Birth:

Nationality:

Address/registered office:

Town/Country:

2. Last name (company name):

First name:

Date of Birth:

Nationality:

Address/registered office:

Town/Country:

The contracting partner undertakes to automatically inform the bank of any changes.

It is a criminal offence to deliberately provide false information on this form (Art. 251 of the Swiss Penal Code, document forgery; penalty: imprisonment for up to five years or a fine).

Date

Signature(s) of the contracting partner(s)

Form obtained in connection with (to be completed by BKB):

Date, reference, phone and approved
Of bank official receiving declaration: _____

<input type="checkbox"/> new account (only if in accordance with Clause 25 or Clause 27 CDB 08)	<input type="checkbox"/> Transaction / routine clarification in the course of an existing business relationship in accordance with Clause 25 or Art. 6 CDB 08
<input type="checkbox"/> by Correspondence / Delegation	<input type="checkbox"/> Domiciliary company Art. 4 CDB 08
See notes <input type="checkbox"/> StaR <input type="checkbox"/> IBIS <input type="checkbox"/> Form. LAN 9219	<input type="checkbox"/> Form. LAN ex 207 1476

Laufweg: Formular A immer an RR350 senden

The declaration below must be completed in compliance with US withholding tax regulations. The details provided will be stored internally by the Bank only. All information is subject to Swiss bank-client confidentiality and will be made available neither to Swiss nor to foreign authorities.

(Will be completed by the Bank)

Partner no. _____

Account no. _____

Assets and income subject to US withholding tax

Tax form: US withholding tax for natural persons.

1. Determination of your status as a US or non-US person

- | | Yes | No |
|--|--------------------------|--------------------------|
| a) Are you a US citizen or dual national? | <input type="checkbox"/> | <input type="checkbox"/> |
| b) Do you have a residence in the US (holder of a permanent residence permit, e.g. a green card, or pass the "substantial physical presence test" by having resided in the United States in the current and previous two years)? | <input type="checkbox"/> | <input type="checkbox"/> |
| c) Are you liable to taxation in the US for any other reason (e.g. dual residence, joint tax declaration with spouse, refusal of US citizenship or residence visa after long stay, other)? | <input type="checkbox"/> | <input type="checkbox"/> |

Please indicate the reason: _____

2. Double taxation treaty (DTT)

Where are you liable to taxation? _____

If a DTT applies, do you intend to take advantage of it, and are you entitled to? (As a rule, entitlement is accorded if investments are declared.)

Yes	No
<input type="checkbox"/>	<input type="checkbox"/>

3. Beneficial owner

The undersigned custody account holder declares that he/she/it is the beneficial owner under US tax law of the assets and income to which this declaration refers.

4. Change in status as non-US person

The undersigned custody account holder hereby undertakes to inform the Bank if his/her status as a non-US person under US tax law should change.

Place and date

Signature

X

(Will be completed by the Bank)

Partner no. _____

Account no. _____

**Application to have Bank communications retained by the Bank
(only for clients without postal service)**

The present order applies to the entirety of my/our current and future banking relationships of any kind with Basler Kantonalbank.

1. The undersigned hereby commissions Basler Kantonalbank (hereinafter "Bank") to retain all correspondence or Bank communications regarding the above banking relationships, such as account and custody account documents, statements, prospectuses, etc. (hereinafter "Correspondence") until such time as he/she or a person so authorized by him/her shall pick them up or send for them.
2. Correspondence retained in accordance with directives shall be considered to have been delivered if it has been physically or electronically filed, regardless of whether it is subsequently sent out or distributed. The undersigned hereby accepts liability for any damages arising from the retained mail arrangement agreed herein.
3. Unless otherwise commissioned, the Bank shall not be obliged to perform administrative activities. In urgent cases in which the Bank considers that it can perform the above duties to the undersigned only by contacting that latter, it shall be authorized (but not obligated) to contact the undersigned or his/her power of attorney in an appropriate fashion.
4. The undersigned hereby acknowledges that correspondence not picked up within three years of its delivery (and at the latest upon revocation or expiry of the retained mail order) shall be destroyed. He/she acknowledges that the Bank cannot afterwards produce copies of the various original documents picked up or destroyed, especially those received from third parties.
5. A fee shall be charged for retained correspondence (see separate price list).

Place and date

Signature

X